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# TRANSMITTAL FORM

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| Total Number of Pages in This Submission | |

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| Application Number | 10/811,373 |
| Filing Date | 03/26/2004 |
| First Named Inventor | Rueckes, et al. |
| Art Unit | 2655 |
| Examiner Name | TBA |
| Attorney Docket Number | 112020.148US2 NAN-24 |

## ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☑ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
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- ☐ Petition to Convert to a Provisional Application
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- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please identify below):
  - Postcard

Remarks

1. PTO Form 1449 (3 pgs.)
2. 31 Publications

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
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| Firm Name | Wilmer Cutler Pickering Hale and Dorr LLP | | |
| Signature | Peter M Dichiara | | |
| Printed name | Peter M. Dichiara | | |
| Date | 1/28/05 | Reg. No. | 38,005 |

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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

| | | |
|---|---|---|
| Applicants: | RUECKES, et al. | |
| Application No.: | 10/811,373 | Examiner: To Be Assigned |
| Filed: | March 26, 2004 | Group Art Unit: 2655 |
| For: | Nanotube-On-Gate FET Structures and Applications | |
| Atty. Docket No.: | 112020.148 US1 (NAN-24) | |

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Tina M. Dougal

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Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

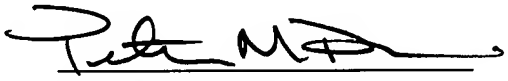
Copies of the publications listed on the attached Form PTO-1449, with the exception of the cited U.S. Patents and the U.S. published applications, are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

The submission of these publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

As this paper is being filed prior to the issuance of a first Office Action on the merits, and pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Dated: January 28, 2005



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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

| Complete if Known | |
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| Application Number | 10/811,373 |
| Filing Date | March 26, 2003 |
| First Named Inventor | Rueckes, et al. |
| Art Unit | 2655 |
| Examiner Name | TBA |
| Attorney Docket Number | 112020.148US1 NAN-24 |

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| Examiner Initials* | Cite No.[1] | Document Number Number-Kind Code[2](If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | US-2003/0124325 | 07-03-2003 | RUECKES et al. | |

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| Filing Date | March 26, 2003 |
| First Named Inventor | Rueckes, et al. |
| Art Unit | 2655 |
| Examiner Name | TBA |
| Attorney Docket Number | 112020.148US1 NAN-24 |

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| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2](If known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No.[1] | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T[2] |
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| Attorney Docket Number | 112020.148US1 NAN-24 |

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